



# Carty & Company, Inc.

Member Financial Industry Regulatory Authority  
6263 Poplar Avenue, Suite 800, Memphis, TN 38119  
901.767.8940 - www.CartyCo.com

## **ACCOUNT FEES SCHEDULE**

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### **TRADING**

Trade Confirm and Handling	\$4.00 per trade
Regulation T Extension	\$10.00 per extension

### **TRANSFERS**

Outgoing	\$100.00
Accommodation Transfers	\$60.00
Legal Transfers	\$60.00
Direct registration Transfer	\$10.00

### **RETIREMENT**

IRA Maintenance Fee	\$48.50 on account anniversary
QRP Maintenance Fee	\$58.50 on account anniversary
Individual (k) and Simplified 401(k) Maintenance Fee	\$75.00
Termination Fee	\$100.00

### **MISCELLANEOUS**

Accommodation/Research Fee	\$40.00 per hour
Inactive Annual Account Fee	\$40.00 per account
NSF or Returned Check	\$25.00 per item
Stop Payment	\$25.00 per item
Wire Funds	\$20.00 per item
Dividend Reinvestment Fee	\$1.00 per cusip
Pershing Cost Basis (PES)	\$12.00 annually
Voluntary Reorganization	\$20.00 per event

### **CORESTONE CHECKING FEE**

(Available upon request)

Date: 0819